



PATENT  
09/213,856

99 MAR - 1 AM 9:28  
GROUP 2700

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In re application of: Scott A. Morgan et al

Serial No.: 09/213,856 Group Art: 2741

Filed: 12/16/98 Examiner: Unknown

For: SPEECH COMMAND INPUT RECOGNITION SYSTEM FOR INTERACTIVE COMPUTER DISPLAY WITH INTERPRETATION OF ANCILLARY RELEVANT SPEECH QUERY TERMS INTO COMMANDS

Assistant Commissioner for Patents  
Washington, D. C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error in:

Applicant's name and/or address  
 Title  
 Filing Date  
 Serial Number  
 Foreign/PCT Application  
 Omitted

in that it should read as follows:

12/17/98 (Per attached copy of postmarked Express Mail label)

Respectfully submitted,

By Richard A. Henkler 2-5-99  
Richard A. Henkler  
Registration No. 39,220  
Intellectual Property Law Dept.  
IBM Corporation  
11400 Burnet Road 4054  
Austin, TX 78758  
(512) 823-0962

## FILING RECEIPT

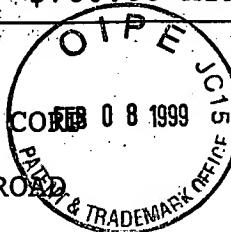


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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/213,856	12/16/98	2741	\$760.00	AT9-98-343	7	15	3

17

RICHARD A HENKLER  
INTERNATIONAL BUSINESS MACHINES CORP  
INTELLECTUAL PROPERTY LAW DEPT  
INTERNAL ZIP 4054 11400 BURNET ROAD  
AUSTIN TX 78758



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

SCOTT ANTHONY MORGAN, AUSTIN, TX; DAVID JOHN ROBERTS,  
STOCKTON, UNITED KINGDOM; CRAIG ARDNER SWEARINGEN, AUSTIN,  
TX; ALAN RICHARD TANNENBAUM, AUSTIN, TX.

FOREIGN FILING LICENSE GRANTED 01/12/99

## TITLE

SPEECH COMMAND INPUT RECOGNITION SYSTEM FOR INTERACTIVE COMPUTER  
DISPLAY WITH INTERPRETATION OF ANCILLARY RELEVANT SPEECH QUERY TERMS  
INTO COMMANDS

PRELIMINARY CLASS: 704

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4/27/2000

Approved

RJS

4/27/2000

DATA ENTRY BY: DURHAM, DESHAWN

TEAM: 05 DATE: 01/12/99



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Richard Henkler

IBS Corporation  
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Austin TX 78758

### TO: (PLEASE PRINT)

Assistant Commissioner

for Patients  
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SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/213,856	12/17/98	704	2741	AT9-98-343

APPLICANT SCOTT ANTHONY MORGAN, AUSTIN, TX; DAVID JOHN ROBERTS, STOCKTON, UNITED KINGDOM; CRAIG ARDNER SWEARINGEN, AUSTIN, TX; ALAN RICHARD TANNENBAUM, AUSTIN, TX. ANTHONY CC TEMPLE

\*\*CONTINUING DOMESTIC DATA\*\*\*\*\*

VERIFIED

None AMN

\*\*371 (NAT'L STAGE) DATA\*\*\*\*\*

VERIFIED

None AMN

\*\*FOREIGN APPLICATIONS\*\*\*\*\*

VERIFIED

None AMN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/12/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY TX	SHEETS DRAWING 7	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 3
Verified and Acknowledged <u>None AMN</u>	Examiner's Initials _____ Initials _____				

ADDRESS RICHARD A HENKLER  
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AUSTIN TX 78758

TITLE SPEECH COMMAND INPUT RECOGNITION SYSTEM FOR INTERACTIVE COMPUTER  
DISPLAY WITH INTERPRETATION OF ANCILLARY RELEVANT SPEECH QUERY TERMS  
INTO COMMANDS

FILING FEE RECEIVED \$760	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

**\*BIBDATASHEET\***

Bib Data Sheet

**CONFIRMATION NO. 6318**

SERIAL NUMBER 09/213,856	FILING DATE 12/17/1998  RULE	CLASS 704	GROUP ART UNIT 2654	ATTORNEY DOCKET NO. AT9-98-343
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**APPLICANTS**

SCOTT ANTHONY MORGAN, AUSTIN, TX;

DAVID JOHN ROBERTS, STOCKTON, UNITED KINGDOM;  
CRAIG ARDNER SWEARINGEN, AUSTIN, TX; ALAN RICHARD TANNENBAUM, AUSTIN, TX;  
ANTHONY C. C. TEMPLE, Residence Not Provided;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 01/12/1999

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS	TOTAL	INDEPENDENT
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	TX	DRAWING 7	CLAIMS 15	CLAIMS 3
Verified and Acknowledged	Examiner's Signature      Initials				

**ADDRESS**

Leslie Van Leeuwen  
PLAW Dept. - IMAD 4054  
IBM Corporation  
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Austin , TX  
78758

**TITLE**

SPEECH COMMAND INPUT RECOGNITION SYSTEM FOR INTERACTIVE COMPUTER DISPLAY WITH  
INTERPRETATION OF ANCILLARY RELEVANT SPEECH QUERY TERMS INTO COMMANDS

FILING FEE	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
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Bib Data Sheet

UNITED STATES DEPARTMENT OF  
COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADE  
Washington, D.C. 20231

SERIAL NUMBER 09/213,856	FILING DATE 12/17/1998 RULE -	CLASS 704	GROUP ART UNIT 2748	ATTORNEY DOCKET NO. AT9-98-343
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## APPLICANTS

SCOTT ANTHONY MORGAN, AUSTIN, TX;  
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 CRAIG ARDNER SWEARINGEN, AUSTIN, TX;  
 ALAN RICHARD TANNENBAUM, AUSTIN, TX;  
 ANTHONY C. C. TEMPLE, Residence Not Provided;

## \*\* CONTINUING DATA \*\*\*\*\*

*Nov 14/98*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

*Nov 14/98*

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 01/12/1999

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance
Verified and Acknowledged	Examiner's Signature <i>[Signature]</i> Initials <i>[Initials]</i>
STATE OR COUNTRY	TX
SHEETS DRAWING	7
TOTAL CLAIMS	15

## ADDRESS

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 AUSTIN ,TX 78758

## TITLE

SPEECH COMMAND INPUT RECOGNITION SYSTEM FOR INTERACTIVE COMPUTER DISPLAY //  
 INTERPRETATION OF ANCILLARY RELEVANT SPEECH QUERY TERMS INTO COMMANDS

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